

EXHIBIT A

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1 migration, tilt, fracture, and perforation, all of which Ms. 01:50:05
2 Booker suffered. It's also the fact that there's a cascade of
3 complications when three happen together like they happened in
4 Ms. Booker. And you'll be able to evaluate the evidence that
5 Bard did not warn adequately about the interaction of these 01:50:27
6 events and how one can lead to the next with harmful effects.

7 Let's look at each one individually. This is a still
8 shot of the video that you've just seen with the filter placed
9 in the vena cava. And when a filter tilts, it loses its
10 centering. And the testimony will show when these are placed, 01:50:46
11 they need to stay centered, and they need not to move. They
12 can become embedded in the vena cava wall when they tip. It
13 can change the blood flow and it can lead to fractures,
14 migration, perforation, clot creation, and something called
15 caval thrombosis. 01:51:06

16 Migration, there are two different kinds, one called
17 cephalad, which is a fancy word for towards the heart, and one
18 for caudal, which is a downward movement. So the filter can
19 migrate north, so to speak, up towards the heart, or downward
20 towards the feet. Ms. Booker suffered from a caudal migration 01:51:23
21 but she also suffered from tilt migration, fracture, and
22 perforation. As I've said, the evidence will show that a tilt
23 can lead to those future failures.

24 I have another animation to show you that Greg will

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25 help with. 01:51:47

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1 So this animation actually shows a tilt and a 01:51:48
2 fracture with the filter tipping embedding in the side of the
3 caval wall. The evidence will show when this happens, there
4 can be a lack of efficacy and the pressure on the components
5 can lead to a fracture. Again, largest vein in the body 01:52:14
6 returning blood to the heart. Sheri suffered a fracture and,
7 as I explained, it traveled to her heart.

8 Sheri also suffered from a perforation. This depicts
9 the filter tilting, embedding, and perforating through the side
10 of the vena cava wall. 01:53:43

11 And as I pointed out earlier, right next to the vena
12 cava is the aorta which also appeared -- Sheri Booker's aorta,
13 and this is actually an overlay of Sheri's x-ray showing the
14 tip, the tilt and the perforation to her aorta.

15 The evidence will show that when it comes to these 01:54:18
16 problems, Bard conducted bench testing starting with its first
17 retrievable filter, the Recovery filter. And when I refer to
18 bench testing, a bench is simply a piece of furniture in the
19 lab where the equipment sits and laboratory testing, you'll
20 hear throughout the trial through testimony and documents. 01:54:38

1 director from Bard. He was there from 2004 through 2008 or '9 02:19:14
2 but he was there during the period.

3 MR. NORTH: My only correction is he's still employed
4 there today.

5 MR. LOPEZ: Oh. Okay. 02:19:28

6 THE COURT: You can begin playing the deposition.

7 This should be on your screen, ladies and gentlemen
8 and you should be able to hear it.

9 (Whereupon, videotaped deposition of Dr. David
10 Ciavarella was played for the jury.) 02:20:52

11 THE COURT: All right. Let's stop the deposition at
12 this point.

13 Latches, we'll take the afternoon break. We will
14 plan to resume at a quarter to three. We'll excuse you at this
15 time. 02:29:46

16 (Jury departs at 2:29.)

17 THE COURT: Counsel, let me remind you of what I said
18 in an order that came out last week. We're not having the
19 court reporters transcribe what is being played on the
20 deposition. So you should be sure to put in the record an 02:30:22
21 agreed-upon statement of what portions of the depositions were
22 read so that it's clear on appeal.

23 This portion we just watched showed a document which
24 the jury has seen and it was asked about. There's been no
25 discussion that I know of moving that into evidence. If so, 02:30:40

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14 THE COURT: Cross-examination.

15 MR. NORTH: Actually, could we put the last exhibit
16 up, 1295.

17 And could we display it to the jury, Your Honor?

18 THE COURT: You may.

19 C R O S S - E X A M I N A T I O N

20 BY MR. NORTH:

21 Q Good afternoon, Dr. McMeeking.

22 A Afternoon.

23 Q You and I have met on previous occasions.

24 A Yes, we have.

25 Q Before -- since we were on this subject, before we go off
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CROSS-EXAMINATION - ROBERT McMEEKING

1 of this subject, what Mr. Graves says towards the end is, "The
2 bigger question, is 12 times more resistant enough?"

3 Isn't that what that document says?

4 A That's correct.

5 Q And doesn't it appear he's questioning, okay, we found it
6 is 12 times better, but is that enough? Should we go further?

7 A That's what he writes in the document.

8 Q Thank you.

9 MR. NORTH: That's all with that document.

10 BY MR. NORTH:

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11 Q Dr. McMeeking, based upon your deposition in this
12 proceeding, it is my understanding that you are not going to
13 offer any opinion here that Bard had a higher rate of any
14 particular type of complication relative to its filters than
15 any other manufacturers; correct?

16 A No, I'm not here to testify on that.

17 Q And so you're not here to testify to the relative rates of
18 complications of one filter versus another?

19 A That's correct.

20 Q And you're not here to testify as to the relative
21 complications of one Bard filter against another Bard filter?

22 A No. I'm not here for that purpose.

23 Q Dr. McMeeking, you have not written any publications
24 during your lengthy career on IVC filters specifically;
25 correct?

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CROSS-EXAMINATION - ROBERT McMEEKING

1 A That's correct.

2 Q And the few publications that you have written that even
3 deal with medical devices concern heart valves; right?

4 A That's correct.

5 Q And you have never personally designed a medical device.

6 A No, I have not.

7 Q Therefore, you've never designed an inferior vena cava

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1 exhibits that will be appearing in the video that I would like 04:07:17
2 to read off and move into evidence.

3 Trial Exhibit 2244, which is D'Ayala Exhibit Number 2
4 at his deposition; Trial Exhibit 2057 is Exhibit 3 to his
5 deposition; trial Exhibit 994, which is Exhibit Number 4 to his 04:07:36
6 deposition; Trial Exhibit 2321, which is Exhibit Number 8 to
7 his deposition; and Trial Exhibit 1001 which is Exhibit 13 to
8 his deposition.

9 THE COURT: And are you moving those into evidence?

10 MS. REED ZAID: Yes, sir. 04:07:58

11 THE COURT: Any objection?

12 MS. HELM: No, Your Honor.

13 THE COURT: All right. Those exhibits will admitted.
14 And you may play the deposition.

15 (Exhibit Numbers 2244, 2057, 994, 2321, 1001 were 04:08:04
16 admitted into evidence.)

17 MS. REED ZAID: Thank you.

18 (Whereupon the deposition of Dr. D'Ayala was played.)

19 THE COURT: All right. Counsel. Let's stop the
20 video there. 04:19:47

21 All right. We are at 4:20, ladies and gentlemen. We
22 will plan to begin tomorrow morning at nine and we will excuse
23 the jury at this time.

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24 (Jury departs at 4:20.)

25 THE COURT: Please be seated. 04:20:22

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1 All right. Counsel, without any adjustment for the 04:20:41
2 portion of Hudnall that was played this morning or for
3 Dr. D'Ayala's deposition, plaintiff has used 15 hours and 14
4 minutes; defense has used four hours and 50 minutes, five zero.

5 Are we still planning tomorrow morning to talk about 04:21:02
6 the FDA letter?

7 MS. REED ZAID: Yes, Your Honor.

8 THE COURT: Okay. So I'll be ready for that.

9 MS. HELM: Your Honor, I can give you the agreed-upon
10 adjustments for the Hudnall and Cohen depositions. Hudnall was 04:21:10
11 finished this morning and Cohen was played this morning.

12 THE COURT: Okay.

13 MS. HELM: It's a total of five minutes that goes to
14 the defendants.

15 THE COURT: Okay. So that would mean defendants have 04:21:24
16 used four hours and 55 minutes and plaintiffs have used 15
17 hours and nine minutes.

18 All right. Any other matters we need to take up

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5 information on this 510(k).

6 Q And what did the FDA do in terms of clearance with this
7 particular device -- submission?

8 A So this 510(k) submission was cleared.

9 MR. NORTH: Could we display 5353, please.

10 BY MR. NORTH:

11 Q Is this a copy of a clearance letter regarding the jugular
12 delivery system?

13 A Yes, it is.

14 MR. NORTH: At this time we would tender 5353.

15 MR. JOHNSON: No objection, Your Honor.

16 THE COURT: Admitted.

17 (Exhibit 5353 admitted.)

18 BY MR. NORTH:

19 Q And is 5353 a copy of that clearance letter?

20 A Yes, it is.

21 Q What was the date of that letter?

22 A November 25, 2005.

23 Q Now, was there a fourth 510(k) submitted related in some
24 way to the G2?

25 A Yes, there was another, I believe it was a Special 510(k)
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DIRECT EXAMINATION - DONNA-BEA TILLMAN, PH.D

1 for a change to the spline system.

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2 MR. NORTH: Could we display 5361, please.

3 BY MR. NORTH:

4 Q Is this a copy of that Special 510(k) concerning the
5 spline system?

6 A Yes, it is.

7 Q Could you enlighten us and tell us, if you know, what a
8 spline system is.

9 A So it's part of the mechanism once again that's used to
10 deliver the filter. So it's not a change to the filter
11 itself, it's a change to the actual delivery mechanism.

12 Q At the time -- do you recall when this -- what was the
13 date of this submission?

14 A September 25th, 2006.

15 Q At that point in time, if the FDA had any concerns about
16 the G2 filter's performance itself or about its labeling,
17 could the FDA have done something in the context of reviewing
18 this 510(k)?

19 A Yes. As I mentioned for the last 510(k), FDA would use a
20 new device as an opportunity to ask any questions about an
21 existing device if it had any concerns.

22 Q And what did the FDA do in response to this?

23 A So this 510(k) was also cleared without any questions.

24 MR. NORTH: If we could show 5362, please.

25

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23 And what does table 2 present as a part of the SIR
24 guidelines?

25 A Table 2 represents other trackable events. And as I had
1973

DIRECT EXAMINATION - CLEMENT GRASSI, M.D.

1 mentioned earlier, these would be events which, for
2 completeness of the paper, we included. They may have been
3 associated in the world literature with an event from a
4 patient or they may be things which were observed
5 scientifically, but the patient had suffered no injury and had
6 no symptoms or signs.

7 Q And, again, did you look at -- did you and your committee
8 look at the medical literature to determine the reported rates
9 for each of these trackable events?

10 A Yes, we did.

11 Q And what did you determine was the reported rate for
12 fracture of filters?

13 A The reported rate for fracture was between 2 and
14 10 percent.

15 Q Did you determine a reported rate for migration?

16 A Yes. Between zero and 18 percent.

17 Q And did you determine a reported rate for IVC penetration?

18 A Yes. Zero to 41 percent.

19 Q Did your committee -- did you and your committee make any

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20 observations as to whether penetration and migration events
21 were customarily significant from a clinical perspective?
22 A The committee recognized, since it was composed of
23 practicing interventional radiologists, that these are
24 commonly observed events, seen when inferior vena cava filters
25 are used.

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1 Q Do you know what -- in determining the reported rates for
2 filter fracture, what medical articles you cited for that?

3 A Well, originally there were many articles referred to, and
4 two articles were cited here on table 2 for filter fracture,
5 references number 17 and 24.

6 MR. NORTH: Could we look at the final page at what
7 those articles were, the citations.

8 Let's go back one page, please.

9 BY MR. NORTH:

10 Q What is citation 17 that the committee cited as a basis
11 for -- one of the bases for the reported rates for fracture of
12 2 to 10 percent in filters?

13 A That would be the article written with the first author,
14 Dr. Ernest Ferris, and others, titled Percutaneous Inferior
15 Vena Cava Filters, a Followup of Seven Designs in 320
16 Patients.

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11 guidelines?

12 A It is slightly higher because, as we've seen previously,
13 the range was cited as up to 10 percent. And that is
14 basically because the committee felt in viewing ranges that
15 the most common range that we observed was up to approximately
16 10 percent. So it is slightly higher in the exact number.

17 Q Did the Ferris article in table 2 also discuss various
18 rates of -- reported rates of IVC penetration by various
19 filters?

20 A Yes, it did.

21 Q And what did it report to be the penetration rate for the
22 Simon Nitinol filter?

23 A The Simon Nitinol filter penetration rate is reported at
24 33 percent.

25 Q And, again, what did the SIR guidelines that you
1977

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1 spearheaded the development for, what did they report as the
2 reported rate for IVC penetration?

3 A That range, as I remember from our previous table, this
4 number in the -- in the range reporting.

5 Q Were these complications and trackable events that you
6 reported about in the SIR guidelines, were they known to you
7 in the medical community prior to developing those guidelines?

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8 A Yes, they were.

9 Q Are those, the potential for those complications and
10 adverse events, taught to residents and fellows as a part of
11 their medical training?

12 A They are, and I was one of those persons, since I've
13 worked in academic hospitals, who had the privilege of working
14 with residents and fellows and trainees. And so we would go
15 through these numbers and this data with them to help with
16 teaching.

17 Q What happened once your committee developed a draft of
18 these guidelines?

19 A Once a draft was produced by the committee -- and I might
20 say this method included telephone conversations, in-person
21 meeting at two national annual society meetings -- the draft
22 was then submitted to the executive committee of the SIR.
23 Simultaneously, the guidelines were posted on the SIR website.
24 Commentary was invited.

25 This was accessible to SIR members, that is 1978

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1 interventional radiologists, non-SIR members, and basically
2 anyone working with IVC filters who would like to read about
3 it on the website.

4 After the commentary, the comments were collected and

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5 the guidelines draft, plus commentary, was passed on then to
6 the JVIR, which I mentioned is the official journal. And that
7 was reviewed by the editor and his staff among peers.

8 Q So was the article peer-reviewed before it was published?

9 A Yes, it was. In addition to our committee reviewing it.

10 Q And you say it was made accessible to the members of the
11 SIR. Can you tell us approximately how many radiologists --
12 interventional radiologists belong to the organization?

13 A Yes. Well, thinking back to the time period of about
14 2001, at the time of the guidelines, there were over 5,000
15 members.

16 Q And in what year were these guidelines published, Doctor?

17 A They were published in 2001.

18 Q Does membership in the SIR entitle you to a free
19 subscription to the JVIR?

20 A It does because members previously received a print
21 version of the journal as well as online access.

22 Q So would all 5,000-plus members of the Society of
23 Interventional Radiology at the time in 2001 that your
24 guidelines were published, would they have received a copy of
25 the Journal of Vascular and Interventional Radiology

1979

DIRECT EXAMINATION - CLEMENT GRASSI, M.D.

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2 A Yes, that's right.

3 Q Doctor, has the SIR updated those guidelines since 2001?

4 A Yes, they have.

5 Q Were the ones that you were the chairperson of the
6 committee and developing, were those republished in 2003?

7 A That's correct. In what was called a supplement to JVIR,
8 they were published once again.

9 Q And was the most recent update published in 2017?

10 A Yes.

11 Q Dr. Grassi, were the SIR guidelines ever intended to
12 establish acceptable thresholds for IVC filter complications?

13 A No. The purpose of the committee and of the guidelines
14 document was to educate, inform, and basically summarize
15 information for those working with IVC filters. We felt that
16 by publishing this information it would be very helpful to
17 those practitioners.

18 Q And what was your committee's expectation as to how the
19 guidelines would be utilized once they were published?

20 A We intended that they would be a resource to anyone
21 working with IVC filters, and specifically for interventional
22 radiologists it would allow them to look at our numbers,
23 review their own practice, and see, practically speaking, if
24 there was any reason for them to do their own personal quality
25 review and whether it was necessary for them to review their

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15 hours and nine minutes; defendant, 24 hours and 12 minutes. 03:12:14

16 MR. NORTH: Can I say something on the time, Your
17 Honor? I don't think it needs to be said but I just want to
18 make sure. There was some talk earlier in the trial about if
19 we didn't use all our time, maybe somebody else would get more,
20 but we of course want to reserve that time for our closing and 03:12:33
21 for punitive damages phase.

22 THE COURT: I didn't assume you were offering it up.

23 MR. NORTH: Just wanted to be certain, Your Honor.

24 THE COURT: Okay. Let's talk about a few issues.

25 Mr. North, you have been wanting to make a motion. 03:12:53

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1 Why don't we go ahead and deal with that now? 03:12:57

2 MR. NORTH: So is now the time, Your Honor?

3 THE COURT: Now is the time.

4 MR. O'CONNOR: We're going to readjust our dugout.

5 THE COURT: That's fine. 03:13:09

6 MR. NORTH: Your Honor, this was the motion that the
7 Court specifically gave us permission to reserve at the end of
8 the plaintiff's case pursuant to Rule 30 and it's timely
9 because it's now at the conclusion of all of the evidence. So

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10 we would be renewing the same motion that we had reserved at 03:13:34
11 that time and two for the price of one, all with one argument,
12 but it's the same motion that we would have articulated at the
13 end of the plaintiff's case and repeat now.

14 Your Honor, in this case we believe that the evidence
15 is much different than it was at the summary judgment stage. I 03:13:54
16 understand that this court denied summary judgment. But then
17 we don't believe the evidence is the same and, therefore, we
18 would move for judgment, as a matter of law, under Rule 50,
19 both as to the warning claim and as to the claim for punitive
20 damages. 03:14:15

21 As to the warning claim, there are two issues: The
22 adequacy of the warning and the causation between the warning
23 and the injury to Ms. Booker. The plaintiff's argument at the
24 summary judgment stage was principally focused on evidence of
25 higher complication rates with regard to the G2. They relied 03:14:32

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1 on things like the evidence of Dr. Betensky, the Harvard 03:14:38
2 statistician. That was one of their experts.

3 They did not bring into this courtroom in this trial
4 evidence of higher complication rates. They assumed higher
5 complication rates in the context of many of their questions. 03:14:53

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24 haven't seen it up on the screen --

25 THE COURT: Counsel, you have been going for almost 03:37:58

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1 20 minutes. Let's try to wrap this up in the next few if we 03:38:00

2 can. We've got a number of other issues we need to address.

3 MR. MANKOFF: Sure.

4 Your Honor, I'll conclude by pointing out that this

5 evidence falls into the categories that you mentioned when you 03:38:26

6 denied the motion for summary judgment. Bard never

7 appropriately tested its devices. They never took action to

8 stop sales of the device when they knew of the problems. They

9 learned of more problems with the EVEREST trial. And at the

10 end of the day, this was about profit. They had marketing and 03:38:45

11 sales, incentive bonuses. There was evidence that they needed

12 a new device in order to maintain and grow market share. They

13 stated that users would be swayed by aggressive marketing, even

14 with negative clinical experience, and they went out and tried

15 to grab a \$172 million market. 03:39:07

16 If there are no further questions, I'll rest.

17 THE COURT: Okay. Thank you. Give me just a minute.

18 All right. I've just taken a moment to review my

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19 notes on the D'Ayala testimony. I'm going to deny the motion.

20 I think there is enough evidence for this to go to the jury 03:40:31

21 both on the failure to warn claim and on the punitive damages

22 claim.

23 All right. Defendants, you want to make a motion; is

24 that right? I'm sorry, plaintiff.

25 MR. STOLLER: Do you want our motion on judgment, as 03:40:47

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1 a matter of law. 03:40:49

2 THE COURT: I do and I would like to do this in about
3 five or seven minutes per side.

4 MR. STOLLER: We've got two. I'll handle the first
5 which is as to the claim by defendants for comparative fault as 03:40:57
6 to Dr. Amer -- and I'm specifically, Your Honor, going to talk
7 about the element of causation and that they have made no proof
8 of any causation such that they could -- would you like me to
9 do it from here or the podium, Your Honor?

10 THE COURT: Please. 03:41:19

11 MR. STOLLER: And I will particularly brief on this
12 one, your Honor.

13 As you know, they have pointed to Dr. Amer as being a
14 non-party at fault which requires them to demonstrate both that